

Supplemental Combined Declaration and Power of Attorney for Patent Application

Docket Number: 00166.0051.US03

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled _____

Microencapsulated 3-Piperidinyl-Substituted 1,2-Benzisoxazoles and 1,2-Benzisothiazoles, the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on January 12, 1998;
as United States Application Number or PCT International Application Number 09/005,549 ; and
was amended on 01/12/98; 6/5/98 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No

(Application No.) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Application No.) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date)

(Application No.) (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>08/808,261</u> (Application No.)	<u>February 28, 1997</u> (Filing Date)	<u>Patented (5,770,231)</u> (Status - patented, pending, abandoned)
<u>08/154,403</u> (Application No.)	<u>November 19, 1993</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Jeffrey I. Auerbach, Reg. No. 32,680
Melvin L. Barnes, Jr. Reg. No. 38,375
Michael J. Bell, Reg. No. 39,604
Mark R. Buscher, Reg. No. 35,006
Celine T. Callahan, Reg. No. 34,301
Cono A. Carrano, Reg. No. 39,623
Joseph V. Colaianni, Reg. No. 39,948
James F. Davis, Reg. No. 21,072
Thomas M. Dunham, Reg. No. 39,965
Joel M. Freed, Reg. No. 25,101
Vernon Randall Gard, Reg. No. 33,886
Alan M. Grimaldi, Reg. No. 26,599
Alexander J. Hadjis, Reg. No. 36,540
Albert P. Halluin, Reg. No. 25,227
Michael N. Haynes, Reg. No. 40,014
Rouget F. Henschel, Reg. No. 39,221

Leslie L. Jacobs, Jr., Reg. No. 40,659
Richard H. Kjeldgaard, Reg. No. 30,186
Joseph P. Lavelle, Reg. No. 31,036
David R. Marsh, Reg. No. 41,408
Kevin W. McCabe, Reg. No. 41,182
Joseph A. Micallef, Reg. No. 39,772
Anthony D. Miller, Reg. No. 34,394
Karen L. Nicastro, Reg. No. 35,968
Bradley J. Olson, Reg. No. 40,750
Andrew Y. Piatnicia, Reg. No. 40,772
Andrea G. Reister, Reg. No. 36,253
Stephen J. Rosenman, Reg. No. 29,209
Timothy L. Scott, Reg. No. 37,931
Anthony W. Shaw, Reg. No. 30,104
J. David Smith, Reg. No. 39,839
Michael J. Songer, Reg. No. 39,841

Send Correspondence to:

Andrea G. Reister
HOWREY & SIMON
Box No. 34
1299 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2402
Facsimile: (202) 383-7195

Direct Telephone Calls to: (202) 783-0800

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor **Jean Mesens,**

Inventor's signature _____ Date _____

Residence Moereind 17, B-2275 – Wechelderzande, Belgium

Citizenship Belgium

Post Office Address Moereind 17, B-2275 – Wechelderzande, Belgium

Full name of second inventor Michael E. Rickey

Second inventor's signature Mike E. Kelly Date 1-27-91

Residence 2938 Maureen Court, Loveland, Ohio 45140

Citizenship USA

Post Office Address 2938 Maureen Court, Loveland, Ohio 45140

Full name of third inventor **Thomas J. Atkins**

Third inventor's signature Thomas J. Atkins Date 1/19/99

Residence 1990 High Rock Lane, York, PA 17402

Citizenship USA

Post Office Address 1990 High Rock Lane, York, PA 17402

- Page 3 of 3 -

Supplemental Combined Declaration and Power of Attorney for Patent Application

Docket Number: 00166.0051.US03

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Priority Claimed

☐ Yes ☐ No

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(Application No.) (Filing Date)

(Application No.) (Filing Date)

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08/808,261 February 28, 1997 Patented (5,770,231)
(Application No.) (Filing Date) (Status - patented, pending, abandoned)

08/154,403 November 19, 1993 Pending
(Application No.) (Filing Date) (Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Alexander J. Hadjis, Reg. No. 36,540
Albert P. Halluin, Reg. No. 25,227
Michael N. Haynes, Reg. No. 40,014
Rouget F. Henschel, Reg. No. 39,221

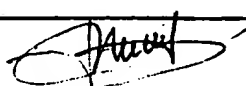
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Joseph P. Lavelle, Reg. No. 31,036
David R. Marsh, Reg. No. 41,408
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Full name of sole or first inventor Jean Mesens	
Inventor's signature 	Date 13 JAN 1999
Residence Moereind 17, B-2275 - Wechelderzande, Belgium	
Citizenship Belgium	
Post Office Address Moereind 17, B-2275 - Wechelderzande, Belgium	

Full name of second inventor Michael E. Rickey	
Second inventor's signature	Date
Residence 2938 Maureen Court, Loveland, Ohio 45140	
Citizenship USA	
Post Office Address 2938 Maureen Court, Loveland, Ohio 45140	
Full name of third inventor Thomas J. Atkins	
Third inventor's signature	Date
Residence 1990 High Rock Lane, York, PA 17402	
Citizenship USA	
Post Office Address 1990 High Rock Lane, York, PA 17402	

(Supply similar information and signature for subsequent joint inventors, if any)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DATE: 05/11/94

TO:

N06A

STERNE, KESSLER, GOLDSTEIN & FOX
JAMES L. LEWIS
1100 NEW YORK AVENUE, N.W., STE. 600
WASHINGTON, D.C. 20005-3934

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:
MESENS, JEAN

DOC DATE: 02/28/94

RECORDATION DATE: 03/15/94 NUMBER OF PAGES 002 REEL/FRAME 6948/0281

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:
JANSSEN PHARMACEUTICA
BEERSE, BELGIUM

SERIAL NUMBER 8-154403 FILING DATE 11/19/93
PATENT NUMBER ISSUE DATE 00/00/00

JERIL McDONELL
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

40^{CD}-581

A/D



RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
1. Jean MESENS

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance: 19
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1 February 28, 1994

2. Name and address of receiving party(ies):

Name: Janssen Pharmaceutica

Internal Address:

Street Address:

City Beerse State Belgium Zip

Additional name(s) & address(s) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 08/154,403; filed November 19, 1993

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James L. Lewis

Internal Address: STERNE, KESSLER, GOLDSTEIN & FOX

Street Address: 1100 New York Avenue, N.W.
Suite 600

City: Washington, D.C. State: Zip: 20005-3934

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41):..... \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-0036

(attach duplicate copy of this page if paying by deposit account)

130 SC 03/21/94 08154403

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James L. Lewis, Reg. No. 24,732
Name of Person Signing

James L. Lewis
Signature

93438240
3/10/94
Date

Total number of pages (including cover sheet, attachments and document): 2

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned: Jean MESENS, the undersigned hereby sell(s) and assign(s) to Janssen Pharmaceutica, Beerse, Belgium (the Assignee) her entire right, title and interest

check applicable box(es)

- ☒ for the United States of America (as defined in 35 U.S.C. § 100),
☒ and throughout the world,

in the invention(s) known as MICROENCAPSULATED 3-PIPERIDINYL-SUBSTITUTED 1,2-BENZISOXAZOLES AND 1,2-BENZISOTHIAZOLES for which application(s) for patent in the United States of America has (have) been executed by the undersigned on X February 22, 1994 (also known as United States Application Serial No. 08/154,403, filed November 19, 1993), in any and all applications thereon, in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations, divisions and continuations of such applications or Letters Patent(s) to the full end of the term or terms for which such Letters Patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee the same as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the application(s) and any continuing, divisional, reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning the application(s) or any continuation, division, reissue or reexamination thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby covenant(s) that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant(s) Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; Samuel L. Fox, Esquire, Registration No. 30,353; David K. S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831 and Michele A. Cimbala, Esquire, Registration No. 33,851 of STERNE, KESSLER, GOLDSTEIN & FOX, 1100 New York Avenue, N.W., Suite 600, Washington, D.C. 20005-3934, power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date: 28 February 1994 Signature of Inventor: _____

Jean MESENS

REEL 948 FRAME 282

MAR 15 94

RECORDED
PATENT AND TRADEMARK
OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JULY 28, 1996

PTAS

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
DAVID E. BROOK, ESQ.
TWO MILITIA DRIVE
LEXINGTON, MA 02173



100191026A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/06/1996

REEL/FRAME: 7925/0165
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MEDISORB TECHNOLOGIES
INTERNATIONAL, L.P.

DOC DATE: 04/02/1996

ASSIGNEE:

ALKERMES CONTROLLED THERAPEUTICS, INC. II
64 SIDNEY STREET
CAMBRIDGE, MASSACHUSETTS 02139

SERIAL NUMBER: 08154403
PATENT NUMBER:

FILING DATE: 11/19/1993
ISSUE DATE:

SERIAL NUMBER: 08403432
PATENT NUMBER:

FILING DATE: 03/14/1995
ISSUE DATE:

JACQUELINE MOORE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

AUG - 5 1996

05-09-1996

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

F. No. 947.111

100191026

To the Honorable Commissioner of Patents and Trademarks: Please return the attached original documents or copy thereof.

1. Name of conveying party(ies): **MRD5-6-96**Medisorb Technologies International, L.P.'s
interest in the patent applications listed
on Schedule A, attached.Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 2, 1996

2. Name and address of receiving party(ies)

Name:

Internal Address: Alkermes Controlled

Therapeutics, Inc. II

Street Address: 64 Sidney Street

City: Cambridge State: MA ZIP: 02139

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Listed on Schedule A, attached.

Additional numbers attached? ☒ Yes ☐ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: David E. Brook, Esq.

Internal Address: Hamilton, Brook, Smith

& Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02173

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41).....\$ 80.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

060 JS 05/08/96 08154403

1 581

80.00 CK

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

Richard W. Wagner

Name of Person Signing

Richard W. Wagner

Signature

May 2, 1996

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20531

ASSIGNMENT OF UNITED STATES PATENTS AND PATENT APPLICATIONS

Whereas, Medisorb Technologies International, L.P., a Delaware Limited Partnership, having a place of business in Cincinnati, OH 45242 (hereinafter "Assignor") and Janssen Pharmaceutica, having a place of business in Beerse, Belgium are the joint owners of the entire right, title and interest in and to the United States Patent Applications described in Schedule A attached hereto and made a part hereof (hereinafter "Patents"); and

Whereas, Alkermes Controlled Therapeutics Inc. II, a Pennsylvania corporation, having a place of business in Cambridge, MA 02139 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest of Assignor.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents do hereby sell, assign and transfer Assignor's entire right, title and interest in and to the Patents and all reissues and extensions thereof, including all claims, if any, which may have arisen for infringement of the patents prior to the date of this assignment, all said rights to be held and enjoyed by the Assignee for its own use and for the use of its successors, assigns or other legal representatives, to the full end of the term for which the Patents have been or will be granted, extended or reissued, as fully and entirely as the same would have been held and enjoyed by the Assignor if this assignment and sale had not been made.

Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, or reissuing the Patents, and for maintaining and perfecting Assignee's right to the Patents.

IN WITNESS WHEREOF, said Medisorb Technologies International, L.P. has caused these presents to be signed by its duly authorized General Partner below named effective as of the dates written below.

Medisorb Technologies International, L.P.

By David R. Lohr
David R. Lohr, President of
Medisorb Technologies International, Inc.,
a Delaware Corporation, General Partner

State/Commonwealth of Ohio

County of Hamilton

Then personally appeared before me the above-named David R. Lohr and acknowledged that he is an officer of said corporation, the General Partner of Medisorb Technologies International, L.P., that he executed the foregoing instrument on behalf of such Limited Partnership with authority to do so, and that signing of the instrument is the free act and deed of the Limited Partnership.

Dated this 2nd day of April, 1996.

[Signature] Notary Public
(SEAL) _____ (print name)

My Commission expires ___ / ___ / ___

J. DANIEL SHERMAN, Attorney at Law
Notary Public, State of Ohio
My Commission Has No Expiration Date
Section 147.03

SCHEDULE A

UNITED STATES PATENTS

<u>FILE NUMBER</u>	<u>PATENT NO.</u>	<u>ISSUE DATE</u>	<u>TITLE</u>
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UNITED STATES PATENT APPLICATIONS

<u>FILE NUMBER</u>	<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>TITLE</u>
661 0510000	08/154,403	11/19/93	Microencapsulated 3-Piperidinyl-Substituted 1,2-Benzisoxazoles and 1,2-Benzisothiazoles
661 0510001	08/403,432	03/14/95	Microencapsulated 3-Piperidinyl-Substituted 1,2-Benzisoxazoles and 1,2-Benzisothiazoles